



(19) Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) Publication number:

**0 294 056 B1**

(12)

## EUROPEAN PATENT SPECIFICATION

- (45) Date of publication of patent specification: 06.04.94 (51) Int. Cl. 5: G03C 1/685, C08K 5/00,  
C08L 75/04, B32B 17/10,  
(21) Application number: 88304403.4 G02B 5/23  
(22) Date of filing: 16.05.88

(54) Manufacture of photochromic articles.

(30) Priority: 22.05.87 GB 8712210  
(43) Date of publication of application:  
07.12.88 Bulletin 88/49  
(45) Publication of the grant of the patent:  
06.04.94 Bulletin 94/14  
(84) Designated Contracting States:  
AT BE CH DE ES FR GB GR IT LI LU NL SE  
(56) References cited:  
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**Description**

The present invention relates to the manufacture of photochromic articles, particularly the manufacture of articles having a polyurethane portion which contains an organic photochromic compound of the type which exhibits photochromism characterised by reversible cleavage of carbon-hetero atom sigma bonds, hereinafter referred to as reversible cleavage photochromics.

The manufacture of such a photochromic article is known from Japan Kokai Tokyo Koho JP59135152 in the name of Asahi Glass Company Limited. This publication is directed principally towards the manufacture of a photochromic glass for use in motor vehicles. The patent recognises that the organic photochromic material needs to be incorporated into a polymeric host material which has to be laminated to the glass. It is suggested that the high temperature that is necessary to laminate the polymeric layer to the glass cannot be withstood by the organic photochromic material. Asahi's invention is therefore characterised by the lamination of the polymeric layer to the glass before the photochromic material is imbibed into the polymeric layer. The polymeric layer can subsequently be coated by a further layer of polymer which does not contain photochromic material, for protection purposes. The polymeric layer is described as a pre-formed thermo-plastic polyurethane film which is pressed onto the glass plate in an autoclave and then coloured with a liquor containing 1,3,3,4,5-pentamethyl-9'-methoxy spiro indoline. The finished product is described as a blue bi-layer laminate with good impact absorption properties.

In US patent specification No. 3,508,810 Baltzer describes a photochromic window which has a layer of polyvinyl butyral sandwiched between two sheets of glass. This window is manufactured by dissolving a photochromic spiro-pyran in toluene and then immersing a poly-vinyl butyral sheet into this solution. When the photochromic material is imbibed into the sheet, the sheet is laminated to the glass. Baltzer acknowledges that this system suffers from photochromic fatigue and attempts to reduce it by sealing the edges of the window.

European patent application No. 84113167 describes various photochromic articles all containing compounds described as spiro (indolene) naphth oxazines. It is said that these photochromic compounds can be dissolved in common organic solvents, or can be dispersed in liquids containing water, alcohols or other solvents. Alternatively, the photochromic compounds can be dissolved in colourless or transparent solutions prepared from transparent polymers, co-polymers or blends of such transparent polymers; various suitable solvents are suggested. It is also said that the photochromic compounds can be applied to solid polymerised organic material; various polymers are suggested, including polyurethane and polyvinyl butyral, but these two materials are not preferred.

DD-A-116520 discloses a process in which certain ortho-nitro-benzyl photochromic compounds are used to form polyurethane plastics having photochromic properties by incorporating the ortho-nitrobenzyl photochromic compounds in reaction systems which, when polymerised, will form a polyurethane.

The prior art does not contain any teaching which would lead a man skilled in the art to conclude that one polymeric host material might offer improvements in the photochromic performance of an article, as compared to an article which utilises a different polymeric material. The long lists of alternative polymers given in patents such as EP 84113167 show that it had not hitherto been appreciated that the selection of the host material could have a significant influence on the photochromic properties of the finished article.

Furthermore, although much research has been carried out in this area, none of the prior art disclosures provides a method for manufacturing photochromic articles which are sufficiently fatigue free for applications such as long-lived prescription lenses or windows for architectural and vehicular applications; for example roof-lights for motor vehicles.

Surprisingly, we have discovered that the selection of polyurethane as the host material for reversible cleavage photochromics can impart considerably enhanced fatigue resistance to the photochromic article. Unexpectedly this improvement has been found to be most useful in the case where the photochromic is dissolved in one of the components of the polyurethane prior to polymerisation.

According to the present invention there is provided a process for producing a polyurethane plastics having photochromic properties, characterised in that the process comprises:-

- (a) in a first step incorporating an organic photochromic compound which exhibits photochromic properties as a result of reversible cleavage of carbon-hetero atom sigma bonds within the compound (also known as reversible cleavage photochromic compounds) into a polyurethane precursor such as at least one di-isocyanate compound, or at least one polyol, or a mixture of a di-isocyanate and one or more polyols, which, when polymerised, will yield a polyurethane;
- (b) combining the mixture from step (a) with any other necessary components to enable polymerisation to occur; and

(c) polymerising the resultant mixture to form a polyurethane incorporating the said photochromic compound.

Preferably, the reversible cleavage photochromic compound is dissolved in a di-isocyanate or a polyol, or a mixture of polyols, or a mixture of di-isocyanate and one or more polyols, or any other component of a mixture which, when polymerised, will yield a polyurethane. Subsequently, any other necessary components to enable polymerisation to occur are added and the resultant mixture is polymerised to give a polyurethane with the reversible cleavage photochromic compound in solid solution or otherwise held within the polyurethane matrix.

The photochromic compound may be dissolved in a polyol component of the polyurethane, it may alternatively be dissolved in the polyol mixture or in the di-isocyanate component. Photochromic compounds generally dissolve more readily in the di-isocyanate(s); however, for some applications the toxicity of these compounds and the consequent special handling requirements render it advantageous to dissolve the photochromic compound in the polyol components. It is particularly advantageous to dissolve the photochromic compound in the least viscous polyol component and then add the remaining polyol to complete the first step. The catalyst can also be added in this way. Aliphatic or ali-cyclic polyurethane systems are preferred.

The polyurethane may be cured between two optically clear sheets. The polyurethane adheres to the two optically clear sheets on curing and produces a tri-layer laminate. The optically clear sheets can be selected to be in the form of front and back curves of an ophthalmic lens, alternatively they can be of the form of front and back surfaces of a laminated window, such as a vehicle roof-light.

The polyurethane may be impregnated in, or coated onto, a reflecting surface such as paper, card or plastic sheet. For very long life times these articles can be coated with a protective layer of clear plastic, but this is not necessary for many applications. By use of conventional printing techniques it is possible to mark articles so that the marking becomes visible only on exposure to U.V. radiation.

A thermo-plastic polyurethane may alternatively be utilised but the fatigue resistance is not as good as for thermosetting polyurethane systems. The use of thermoplastic polyurethane allows one or both optically transparent sheets to be replaced by a mould element and a mould release agent to be interposed between the polyurethane and the mould element. In the case that one sheet is so replaced a bi-layer laminate will be produced; in the case that both sheets are replaced in this manner an unsupported flexible polyurethane sheet will be produced. Advantageously, the polyurethane sheet is then laminated to one or two sheets of optically clear material by a conventional process. Throughout the specification the term "optically clear" is taken to mean transparent to visible radiation or radiation of the wavelength to which the photochromic material reacts. The degree of transparency is not critical to the invention.

The unsealed edges of a laminate may be ground and polished to produce a finished article such as an ophthalmic lens, conveniently the grinding and polishing operations are carried out without any special precautions necessitated by the presence of the exposed edges. For some applications, such as vehicle roof-lights, the edge can be sealed, conveniently this is effected by a gasket.

Any polyurethane composition produced by reaction of di-isocyanates and polyols can be used. However, aliphatic or ali-cyclic systems are preferred due to their low background colouration and superior environmental stability (e.g. reduced photo-degradation). Nevertheless, aromatic compositions could be used for applications which do not require low background colour and in which the possible carcinogenic properties of these compositions could be tolerated.

Typical polyurethane components are: di-cyclohexylmethane di-isocyanate, toluene di-isocyanate, polyester diols derived from caprolactone, polyester diols, or tri-methylolpropane.

Polyurethane laminates can be constructed using glass or clear plastic outer layers, in flat or curved form. An example of a possible assembly for use in producing ophthalmic prescription lenses would be a 1 mm polyurethane layer between 2 mm plates. During the filling and curing cycle the 2 mm plates would be held apart by a separating gasket of adhesive butyl rubber strip or any suitable elastomeric plastic. Similarly a 1 mm photochromic polyurethane interlayer could be cast between CR 39 lens forms. The back element could be a "semi-finished" element, allowing the assembled laminate to be subsequently machined to give a prescription lens according to standard semi-finished practice. In this case the separating gasket could be any standard plano-type gasket in suitable plastic and used in normal CR 39 lens manufacture. It will be readily appreciated that stock lenses and special prescription lenses such as those described in GB-A-2049539 could equally well be produced by similar lamination methods.

When the photochromic polyurethane is to be used for coating or impregnating, the viscosity of the mixture can be reduced in a conventional manner, either by using a low viscosity polyol or by using a solvent such as toluene. An advantage of using solvent is that a higher concentration of photochromic compound can be caused to enter the polyurethane matrix, which is particularly beneficial in reflecting

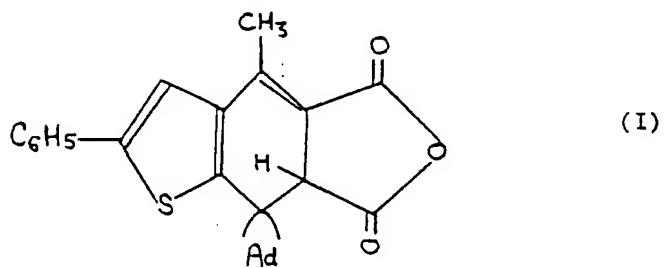
systems which use a thin layer of polyurethane.

Suitable reversible cleavage photochromic compounds are spiro-pyrans, spiro-oxazines, chromenes, heliochromes derived from fulgides. It should be understood that this list is illustrative and is not intended to be limiting. Although all reversible cleavage photochromic materials will exhibit improved service lifetimes when incorporated into polyurethane matrices by the method according to this invention, we have found that the chromenes and spiro-oxazines have particularly useful extended lifetimes.

The invention will now be described further, but not limited, by the following Examples:

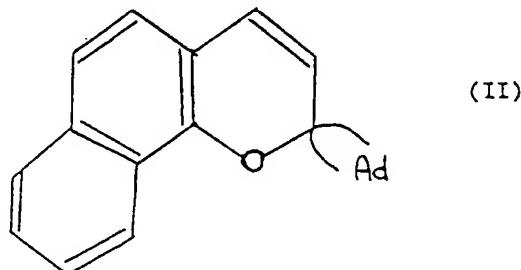
### Example 1

10 A reversible cleavage photochromic compound of the heliochrome class and having the structure (I) shown below, was dissolved in di-cyclohexylmethane di-isocyanate. 0.002% of di-butyl tin dilaurate was added as a catalyst for the subsequent polymerisation to polyurethane. The solution was mixed with a polyol composition in the ratio 1:0.795. The polyol mixture comprised a polyester diol (54.5 parts), a polyether glycol (32.2 parts), and a tri-methylol propane (13.3 parts). The final concentration of compound 15 (1) was 1.5 kg per cubic metre. The mixture was de-gassed and injected into a prepared glass cell comprising two sheets of 2 mm glass separated by a 1 mm rubber spacing gasket. The polyurethane was cured by heating in an oven at 60°C for two days. On cooling the polyurethane was found to have cured satisfactorily and to have adhered to the two glass sheets. When exposed to Air Mass 2 irradiation the 20 laminate showed a photochromic change of almost colourless to blue, corresponding to an integrated visible transmission range (IVT) of 86/25. Optical data for the laminate is given in Table I below. The chemical structure of Compound I was -



### Example 2

40 A photochromic laminate was prepared in the same manner as that described for Example 1. The reversible cleavage photochromic compound used was a chromene of chemical structure (II) shown below:



55 The final concentration of compound (II) was 1 kg per cubic metre. We have found that different photochromic compounds dissolve at different rates in the isocyanate, but the concentrations in the range 1-3 kg per cubic metre can be achieved without any problem. Optical data for a laminate incorporating compound (II) in polyurethane is given in Table I.

Example 3

Example 2 was repeated with a chromene of structure (III). Optical data for the resulting laminate are given in Table I. The structure of chromene (III) was:

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Example 4

Example 2 was repeated with a chromene of structure (IV). Optical data for the resulting laminate is given in Table 1. The structure of chromene (IV) was:

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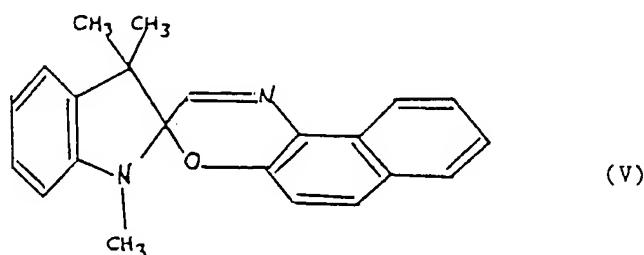
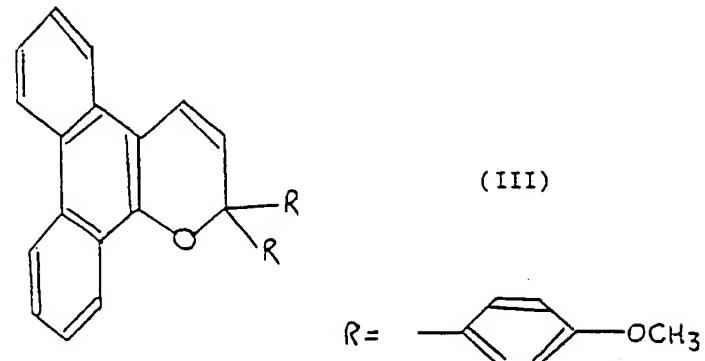
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Example 5

Example 1 was repeated with a photochromic compound of the spiro-oxazine class having structure (V).  
45 Optical data for the resulting laminate is given in Table I below. The structure of photochromic compound (VI) was:

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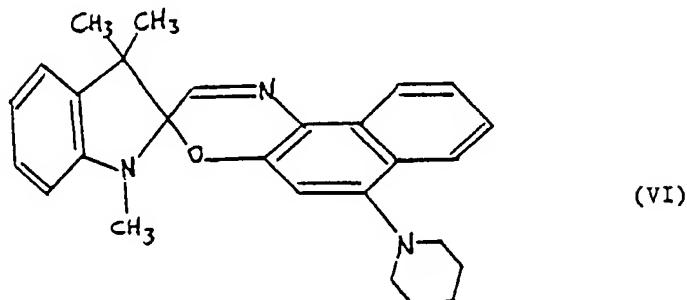
Example 6

Example 5 was repeated with a spiro-oxazine compound of structure (VI). Optical data for the resulting laminate is shown in Table I. The structure of compound (VI) was:

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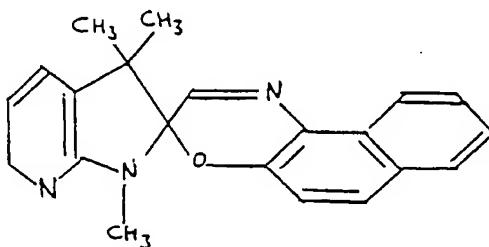
Example 7

Example 5 was repeated with a spiro-oxazine of structure (VII). Optical data for the resulting laminate is given in Table I below. The structure of compound (VII) was:

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(VII)



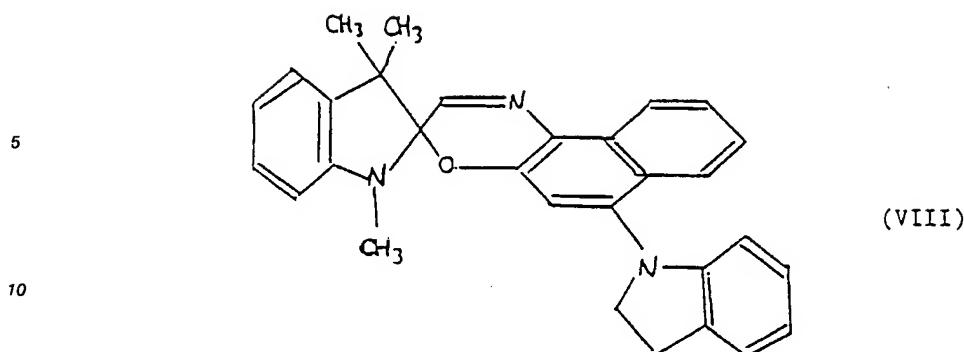
35

Example 8

A reversible cleavage photochromic compound which was a spiro-oxazine with structural formula (VIII) was dissolved in a polyol mixture which comprised a polyester diol (54.5 parts), a polyether glycol (32.2 parts), and a tri-methylol propane (13.3 parts). The dissolution of the photochromic compound was assisted by use of an ultra-sonic bath. The polyol solution was added to di-cyclohexylmethane di-isocyanate containing 0.002% of di-butyl tin dilaurate as catalyst. The resulting mixture was cast into a glass laminate and cured in the same manner as for Example 1 above. The concentration of the photochromic compound in the laminate was approximately 0.4 kg per cubic metre. Optical data for the resulting laminate are given in Table I. The structure of compound (VIII) was:

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15 Examples 1-8 demonstrate the wide range of reversible cleavage photochromic compounds that can be incorporated into polyurethane by the method of this invention. The absence of free radical catalysts in the polyurethane system means that survival of active photochromic through the curing process is approximately 100%. This gives more efficient use of the photochromic compound and avoids the problem of UV screening of active photochromic compound by material which has become degraded during the cure cycle, such as occurs in free radical cure systems.

Table I

25	Example No.	Compound No.	Compound Class	BT	DT	IOD
30	1	I	heliochrome	88	4	1.3
	2	II	chromene	88	18	0.7
	3	III	chromene	15.5	0.1	2.2
35	4	IV	chromene	89	45	0.3
	5	V	spiro-oxazine	90	23	0.6
	6	VI	spiro-oxazine	57	0.1	2.5
40	7	VII	spiro-oxazine	88	55	0.2
	8	VIII	spiro-oxazine	89.5	13	0.8

45 BT = % light transmission in bleached state at  $\lambda_{\max}$  of coloured form

DT = % light transmission in darkened state at  $\lambda_{\max}$  of coloured form

50 IOD = induced optical density =  $\log_{10} \frac{BT}{DT}$

55 Example 8

A photochromic compound having the structure I was incorporated into polyurethane as per Example 1. The laminate was subjected to outside daylight exposure to assess photochromic stability. The results are

given in Table 2. The initial and final transmission ranges are expressed in terms of percentage transmission at the wavelength which gives rise to the greatest degree of darkening of the photochromic compound. Extrapolation of the data collected gives the predicted time for a 50% loss in transmission range.

5

#### Comparative Example 9

A photochromic article was prepared by surface dyeing of compound I into CR 39<sup>TM</sup>. The conditions of imbibition to achieve a photochromic range comparable to Example 9 were imbibition from high temperature silicone oil at 180 °C for 30 minutes. The results of exposure testing are shown in Table 2.

#### Example 10

Photochromic compound II was incorporated into a polyurethane interlayer between CR 39<sup>TM</sup> sheets. 15 The resulting photochromic laminate was subjected to outside exposure testing and the results are given in Table 2. The concentration of the compound II in the polyurethane was 0.9 kg per cubic metre.

#### Comparative Example 10

20 The photochromic article was prepared by surface dyeing of compound II into CR 39<sup>TM</sup>. The conditions of imbibition to achieve a photochromic range comparable to Example 10 were imbibition from high temperature silicone oil at 180 °C for 30 minutes. The results of outside exposure testing are given in Table 2. It will be readily apparent that the sample prepared by imbibition for this comparative example performed markedly less well than the laminated sample prepared and tested in Example 10.

25

#### Example 11

A photochromic spiro-oxazine compound of structure V was incorporated into a laminate by the method according to Example 5. The results of outside exposure testing are given in Table 2.

30

#### Comparative Example 11A

A photochromic article was prepared by surface dyeing of compound V into CR 39<sup>TM</sup>. The conditions of imbibition to achieve a photochromic range comparable to Example 11 were imbibition from high temperature silicone oil at 180 °C for 30 minutes. The results of outside exposure testing are given in Table 2.

#### Comparative Example 11B

40 Photochromic compound V was directly cast into an acrylic medium (tri-ethyleneglycol di-methacrylate). The resulting photochromic article was subjected to outside exposure testing and the results are given in Table 2.

#### Example 12

45 A photochromic laminate incorporating photochromic compound VI was prepared in accordance with Example 6 above. The laminate was subjected to outside exposure testing and the results are given in Table 2.

#### Comparative Example 12

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Photochromic compound VI was directly cast into tri-ethyleneglycol di-methacrylate. The resulting photochromic article was subjected to outside exposure testing and the results are given in Table 2.

#### Example 13

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Photochromic compound VII was incorporated into a photochromic laminate in accordance with Example 7 above and the resulting laminate subjected to outside exposure testing. The results are given in Table 2.

Comparative Example 13

5 Photochromic compound VII was surface dyed into CR 39<sup>TM</sup> by imbibition from high temperature silicone oil. The conditions of imbibition were 180°C for 30 minutes. The results of outside exposure testing are shown in Table 2.

10 The Examples and Comparative Examples tested and tabulated in Table 2 clearly demonstrate that for each photochromic compound incorporated into a photochromic article by the method of this invention, the rate of loss of photochromism is less than that exhibited by the same photochromic compound incorporated into a photochromic article either by imbibition or direct casting into an alternative polymer. More specifically, the method of pre-dissolving the photochromic compound in one component of the polyurethane and subsequently polymerising the polyurethane gives considerably superior results to incorporation methods as recommended and described by the prior art. It should be noted that any attempt to cast a photochromic material directly into a CR 39<sup>TM</sup> polymer would result in an unacceptable degradation of the photochromic material, due to attack during the polymerisation of the CR 39<sup>TM</sup>.

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Example 14

20 A photochromic polyurethane laminate was prepared in the same manner as for Example 6 above. The laminate was subjected to accelerated tests using a modified Marr weatherometer. The Marr apparatus uses a 6 kilowatt xenon arc lamp and the samples are continuously exposed at a distance of about 0.5 m from the lamp. The temperature was approximately 50°C. The test equates 2000 hours of exposure in the weatherometer to 10 years of in-service life. The polyurethane laminate was exposed for 324 hours and the resulting data is given in Table 3. Transmission data is measured at a wavelength 560 nm.

25 Comparative Example 14A

30 A photochromic polyurethane laminate was prepared using a thermo-plastic pre-formed polyurethane interlayer material and lacquer spraying the photochromic material used for Example 14 in a suitable solvent. Initially such a laminate gave a performance comparable to that obtained by using the method described for Example 14. However, after accelerated testing this example showed a much greater loss of photochromic range than that exhibited by Example 14. The thermo-plastic polyurethane which was used was a Quinn PE 193<sup>TM</sup> polyurethane.

Comparative Example 14B

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Again a Quinn PE 193<sup>TM</sup> polyurethane was used to form a laminate with the same photochromic compound as that used for Example 14. This time the photochromic compound was brush coated onto the laminate, rather than spray coated as in Comparative Example 14A. The results of testing this brush coated laminate are given in Table 3. It can be seen that the loss of photochromism after prolonged exposure was considerable.

Comparative Example 14C

45 Besides polyurethane, the other commonly used interlayer material, especially for vehicular applications, is polyvinylbutyral. Example 14A was repeated, but with the polyurethane replaced by a polyvinylbutyral layer. The results of accelerated exposure testing are given in Table 3. It will be seen that the loss of photochromic range after prolonged exposure was considerable, greater even than that encountered in Comparative Example 14A.

50 Comparative Example 14D

Again the polyvinylbutyral interlayer system was used, this time the photochromic compound was incorporated by hot bed diffusion. Initially the photochromic performance of the resulting laminate was similar to that obtained for the sprayed polyvinylbutyral laminate of Comparative Example 14C. After accelerated exposure testing the laminate had completely fatigued and exhibited no photochromic behaviour.

The results tabulated in Table 3 demonstrate that for a given photochromic compound incorporation into polyurethane by the method according to this invention gives enhanced service lifetimes when compared to

the same compound incorporated into polyurethane by different methods or incorporated into polyvinylbutyral by different methods. It would not be possible to incorporate a photochromic compound into polyvinylbutyral by the method according to this invention because the methods currently utilised for the preparation of polyvinyl butyral, and for its subsequent handling, produce many opportunities for reactions which degrade the photochromic material. Comparative Example 14A and Comparative Example 14B were performed on thermo-plastic polyurethane sheets, rather than the thermo-setting compositions that had been utilised for previous examples.

#### Examples 15-22

To show that the method of this invention can also be applied to thermo-plastic polyurethane a series of examples was performed. Two photochromic materials were used: photochromic compound VI and photochromic compound VIII. These two photochromic compounds were tested in the thermo-setting polyurethane composition described in Example 1 above (see Examples 15 and 16) and in three thermo-plastic polyurethane compositions. PU 180™ was a composition using a Capa 720polyol™ mixed with polyester/polyether caprolactone (2000 M wt) (see Examples 17 and 18); PU 181 was a mixture of teracol 1000 and polyether (1000 M wt) (See Examples 19 and 20) and PU 183 was a mixture of capa 212, polyester/polycaprolactone (1000 M wt) (See Examples 21 and 22). The results of Examples 15-22 are tabulated in Table 4. A comparison of the final ranges after 324 hours' accelerated testing shows that the benefits arising from the method of this invention are obtained for both thermo-set and thermoplastic polyurethanes.

We have conducted a series of tests to determine whether a thermo-plastic photochromic sheet needs to be laminated to an impervious material on one or both sides in order to gain a satisfactory service life for the photochromic article. Four tests were performed, in each case the test article was exposed for 149 hours in the Marr weatherometer.

#### Example 23

A thermo-plastic polyurethane photochromic sheet was laminated to glass sheets on both sides. The initial and final photochromic ranges are tabulated in Table 5.

#### Example 24

A thermo-plastic polyurethane photochromic sheet was laminated on one side only to a glass sheet and exposed to accelerated testing with the glass side of the bi-layer laminate oriented towards the xenon lamp. The results are given in Table 5.

#### Example 25

Example 24 was repeated, but this time exposure was with the polyurethane side of the bi-layer laminate towards the xenon lamp. The results are again tabulated in Table 5.

#### Example 26

A polyurethane film was directly exposed to the xenon lamp in the accelerated test machine. The results are given in Table 5.

From Table 5 it can be seen that the triple laminate fatigued less than the bi-layer laminate which fatigued less than the unlaminated polyurethane film. No difference was detected between exposing the bi-layer laminate on the glass side and the polyurethane side. For most light transmitting applications the use of a tri-layer laminate is to be preferred because of the greater protection thereby afforded. Lamination could be between glass or by way of a protective layer over a polyurethane coating. Fatiguing of the edge of the laminate structure can be prevented by sealing the edge, although this is generally unnecessary in the case of ophthalmic lenses. We have found that edge effects are so slight as to be inconsequential in laminates prepared by directly casting a thermosetting polyurethane. This contrasts with laminates prepared from thermoplastic polyurethane sheet which appear to suffer more from edge effects and can therefore benefit significantly from having their edges sealed.

Table 2

5	Example	Compound	Initial Range	Outside Exposure (days)	Final Range	Predicted time to 50% loss (days)
10	9	I	86/36	15	88/59	13
15	C9	I	86/36	3	No darkening	(Complete fatigue 3 days)
20	10	II	90/16	600	84/20.5	1613
25	C10	II	89/17	12	88/48	9
30	11	V	90/27	349	89/34	727
35	C11A	V	87/47	107	89/65	107
40	C11B	V	88/47	111	88/58	179
45	12	VI	76/2	134	76/2	No loss measured
50	C12	VI	54/4.7	111	44/15.7	96
55	13	VII	90/3.6	349	89/44	758
	C13	VII	92/69	49	92/78	57

Table 3

5	Example	Initial Range	Exposure (hours)	Final Range	Loss in range %
10	14	87/0.9	324	85/2	18
15	C14A	84/0.4	230	84/2.4	34
			324*	84/5.2*	48*
20	C14B	92/0.5	324*	86/11*	60*
			375	87/17	70
25	C14C	82/6	279	80/41	76
			324*	80/58*	88*
	C14D	80/5	324	No darkening: complete fatigue	

\* predicted by extrapolation or interpolation of measured values

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Table 4

5	Example	Compound	Polyurethane	Initial Range	Final Range
10	15	VI	Thermoset	87/0.9	85/2.0
	16	VIII	Thermoset	87/7.0	83/4.0
15	17	VI	PU 180	81/0.1	79/0.4
	18	VIII	PU 180	82/0.3	80/1.2
	19	VI	PU 181	79/0.1	80/0.3
20	20	VIII	PU 181	80/0.4	80/1.0
	21	VI	PU 183	80/0.3	76/0.4
25	22	VIII	PU 183	82/0.8	80/1.1

Table 5

30	Example	Initial Range	Final Range
35	23	88/17	88/24
40	24	82/18	88/40
	25	82/16	88/40
45	26	82/17	84/63

Example 27

50 In addition to the light transmitting systems exemplified above, we have found that polyurethane matrices according to the invention also provide superior fatigue properties when used in so called reflecting systems.

55 A photochromic compound having the structure (VI) was incorporated into polyurethane as per Example 1. Before the polyurethane mix was cured a variety of paper and stiff card materials were dipped into the mixture and thereby impregnated with it. The concentration of photochromic compound in the mixture was 0.2% w/v. The impregnated materials were cured by heating in an oven at 130°C for two hours.

Example 28

5 A photochromic compound having the structure (VI) was incorporated into polyurethane as per Example 27. Toluene was then added to thin the mixture. Toluene was selected because it is an inactive solvent, any other suitable inactive solvent could have been used instead of toluene. The thinned uncured polyurethane was then applied to plastic sheeting with a paint brush.

Example 29

10 Samples prepared by the methods of Examples 27 and 28 were repeatedly exposed to U.V. radiation bursts. Each time the portion of the sample coated or impregnated with the polyurethane containing the photochromic compound coloured to an extent clearly visible to the human eye. Each time the colour faded away after about 1 minute. Even after many hundreds of exposures the articles still appeared to colour and fade to the same extent.

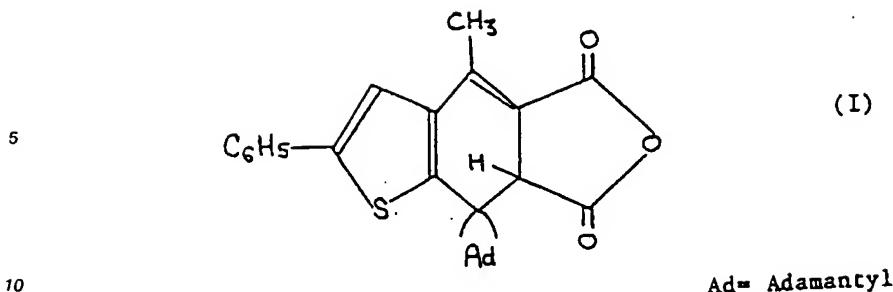
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**Claims**

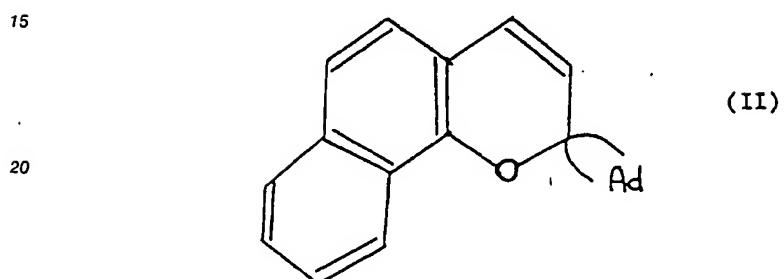
1. A process for producing a polyurethane plastics having photochromic properties, characterised in that the process comprises:-  
20       (a) in a first step incorporating an organic photochromic compound which exhibits photochromic properties as a result of reversible cleavage of carbon-hetero atom sigma bonds within the compound (also known as reversible cleavage photochromic compounds) into a polyurethane precursor such as at least one di-isocyanate compound, or at least one polyol, or a mixture of a di-isocyanate and one or more polyols, which, when polymerised, will yield a polyurethane;  
25       (b) combining the mixture from step (a) with any other necessary components to enable polymerisation to occur; and  
       (c) polymerising the resultant mixture to form a polyurethane incorporating the said photochromic compound.
- 30     2. A process according to claim 1, wherein the resultant polyurethane is a thermoset polyurethane.
3. A process according to claim 1, wherein the resultant polyurethane is a thermoplastic polyurethane.
4. A process according to claim 1, wherein the resultant polyurethane is an aliphatic or alicyclic polyurethane.  
35
5. A process according to any one of the preceding claims, wherein the di-isocyanate component of the polyurethane is a di-cyclohexylmethane di-isocyanate, toluene di-isocyanate or mixtures thereof.
- 40     6. A process according to any one of the preceding claims, wherein the polyol component of the polyurethane is a polyester derived from caprolactone, a polyetherdiol, trimethylolpropane, or mixtures thereof.
- 45     7. A process according to any one of the preceding claims, wherein the photochromic compound is a spiro-pyran, a spiro-oxazine, a chromene, or a heliochrome derived from a fulgide.
8. A process according to claim 7, wherein the photochromic compound is a heliochrome compound of formula (I)

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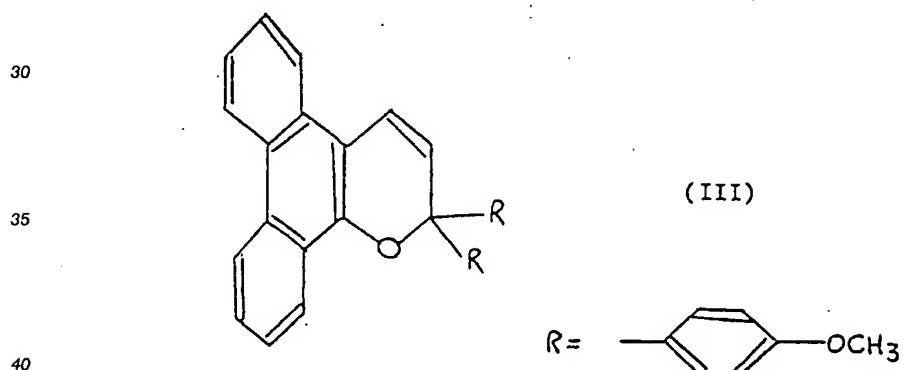
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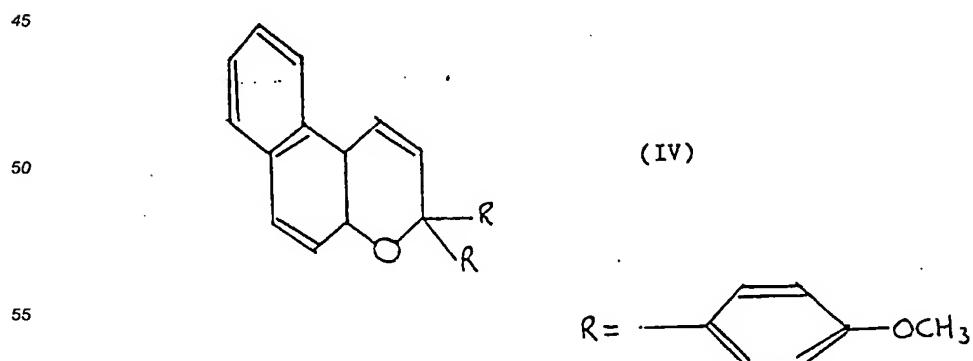
a chromene compound of formula (II)



25  
or of formula (III)

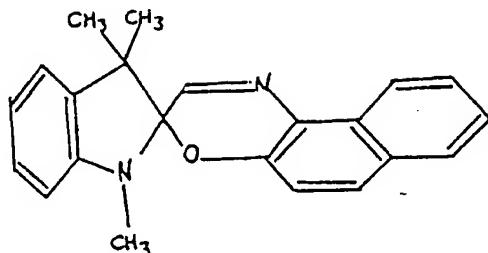


45  
or of formula (IV),



or a spiro-oxazine compound of formula (V)

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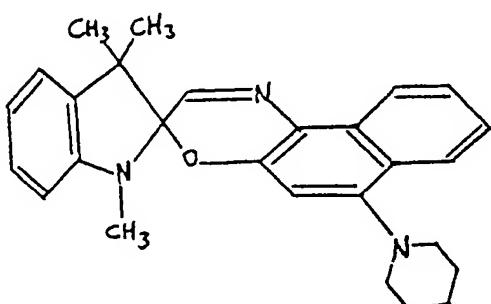


(V)

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or of formula (VI)

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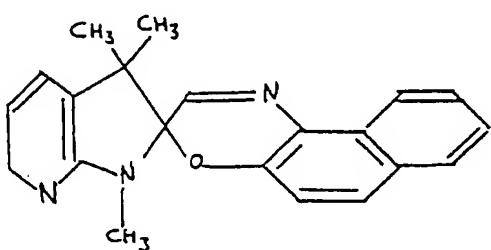


(VI)

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or of formula (VII)

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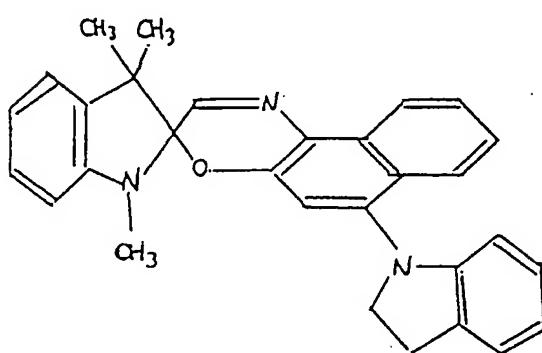


(VII)

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or of formula (VIII)

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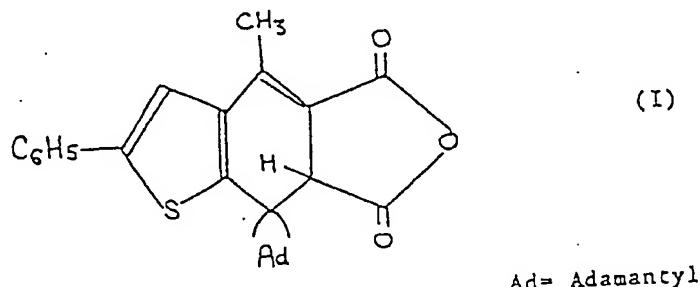


(VIII)

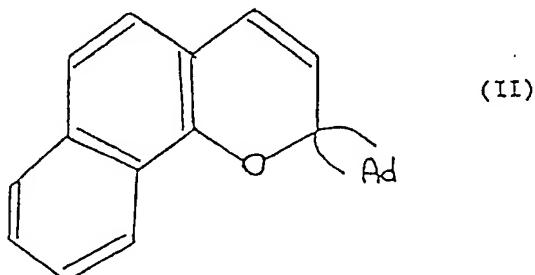
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9. A process according to any one of the preceding claims, wherein the said first step is performed by dissolving the photochromic compound in a polyol component of the polyurethane or in a mixture of polyol components.
  - 5 10. A process according to any one of claims 1 to 8, wherein the said first step is performed by dissolving the photochromic compound in a di-isocyanate component of the polyurethane.
  11. A process according to any one of the preceding claims, wherein the polymerisation of the polyurethane components is performed between two optically clear sheets thereby to form a laminate.
  - 10 12. A process according to claim 11, wherein one or both of said sheets is replaced by a mould element carrying a mould release agent thereby to form a bi-layer laminate or an unsupported polyurethane sheet respectively.
  - 15 13. A process according to any one of claims 1 to 10, wherein the polyurethane components are coated onto or impregnated into the surface of an article prior to polymerisation of the polyurethane components.
  - 20 14. A process according to claim 13, wherein a diluent is added to the polyurethane components thereby to facilitate coating of the article with the polyurethane components.
  15. A photochromic polyurethane-containing laminate comprising two outer optically clear sheets of glass or plastics having adhered thereto an intermediate layer of a polyurethane plastics having photochromic properties, characterised in that the polyurethane plastics having photochromic properties has been prepared by a process as claimed in any one of claims 1 to 10.
  - 25 16. A photochromic polyurethane laminate according to claim 15, wherein the said sheets are flat.
  17. A window for architectural use or for use in a vehicle, the window incorporating a laminate as claimed in claim 15 or 16.
  - 30 18. A vehicle roof-light incorporating a laminate as claimed in claim 15 or 16.
  19. A photochromic polyurethane laminate according to claim 15 or 16, in the form of a lens.
  - 35 20. A reflecting system comprising a reflecting surface of paper, card or plastic sheet coated with or impregnated with a photochromic polyurethane plastics by a process as claimed in claim 13 or 14.
- Patentansprüche**
- 40 1. Verfahren zur Herstellung eines Polyurethanskunststoffs mit photochromatischen Eigenschaften, das dadurch gekennzeichnet ist, daß das Verfahren umfaßt:
    - (a) in einem ersten Schritt den Einbau einer organischen photochromatischen Verbindung, die photochromatische Eigenschaften als Ergebnis einer reversiblen Spaltung der sigma-Bindungen der Kohlenstoffheteroatome in der Verbindung zeigt (auch bekannt als photochromatische Verbindungen mit reversibler Spaltung), in einen Polyurethanvorläufer, wie wenigstens eine Diisocyanatverbindung oder wenigstens ein Polyol oder eine Mischung eines Diisocyanats und eines oder mehrerer Polyole, die nach ihrer Polymerisierung ein Polyurethan ergeben,
    - (b) die Vereinigung der Mischung von Schritt (a) mit irgendwelchen anderen notwendigen Bestandteilen, um eine Polymerisation auslösen zu können und
    - (c) die Polymerisierung der erhaltenen Mischung, um ein Polyurethan zu bilden, wobei die photochromatische Verbindung eingebaut wird.
  2. Verfahren nach Anspruch 1, wobei das erhaltene Polyurethan ein wärmehärtbares Polyurethan ist.
  - 55 3. Verfahren nach Anspruch 1, wobei das erhaltene Polyurethan ein thermoplastisches Polyurethan ist.

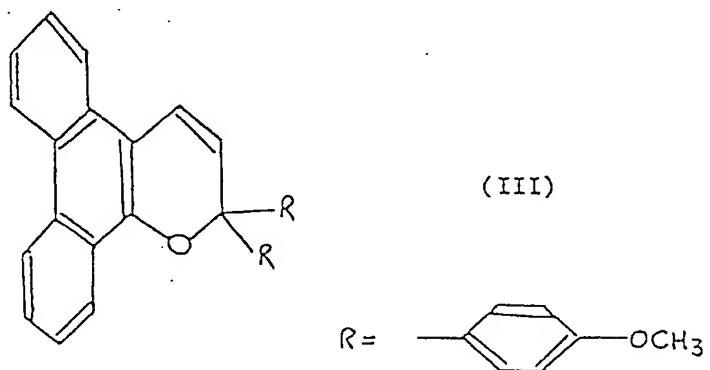
4. Verfahren nach Anspruch 1, wobei das erhaltene Polyurethan ein aliphatisches oder alicyclisches Polyurethan ist.
5. Verfahren nach einem der vorhergehenden Ansprüche, wobei die Diisocyanatkomponente des Polyurethans ein Dicyclohexylmethandiisocyanat, Toluoldiisocyanat oder deren Mischungen ist.
6. Verfahren nach einem der vorhergehenden Ansprüche, wobei die Polyolkomponente des Polyurethans ein Polyester ist, der sich von Caprolacton, einem Polyetherdiol, Trimethylolpropan oder deren Mischungen ableitet.
- 10 7. Verfahren nach einem der vorhergehenden Ansprüche, wobei die photochromatische Verbindung ein Spiropyran, ein Spirooxazin, ein Chromen oder ein Heliochrom ist, das sich von einem Fulgid ableitet.
- 15 8. Verfahren nach Anspruch 7, wobei die photochromatische Verbindung eine Heliochromverbindung der Formel (I)



30 eine Chromenverbindung der Formel (II)



oder der Formel (III)

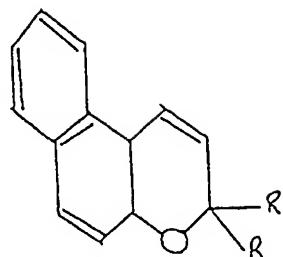


oder der Formel (IV)

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(IV)



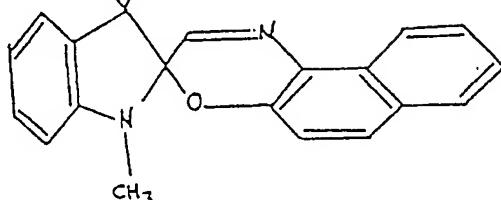
oder einer Spirooxazinverbindung der Formel (V)

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$\text{CH}_3$   $\text{CH}_3$



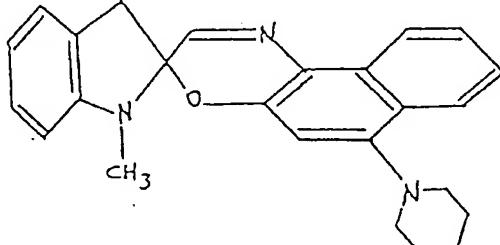
(V)

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$\text{CH}_3$   $\text{CH}_3$



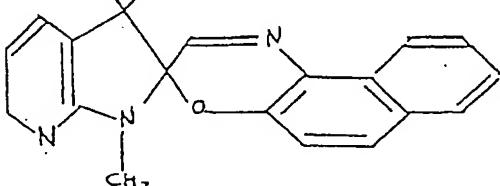
(VI)

oder der Formel (VII)

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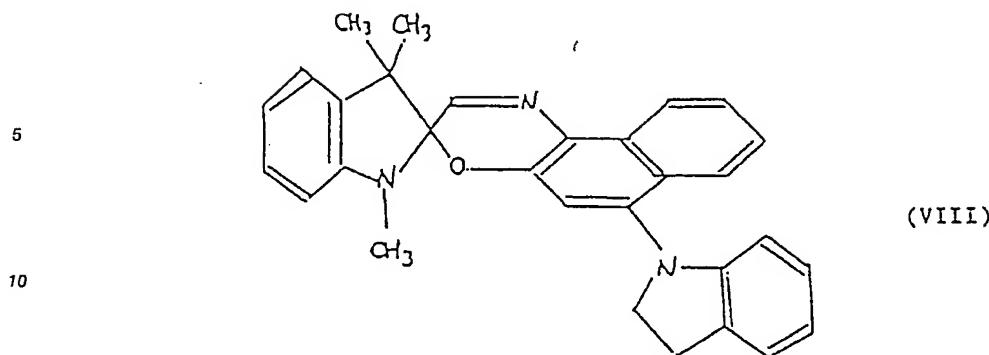
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$\text{CH}_3$   $\text{CH}_3$



(VII)

oder der Formel (VIII)

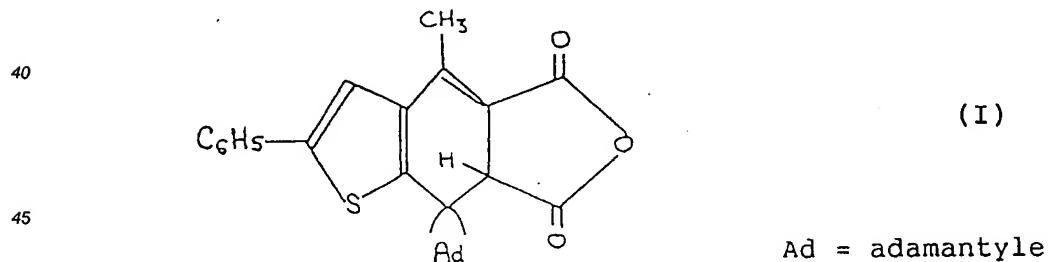


darstellt.

9. Verfahren nach einem der vorhergehenden Ansprüche, wobei der erste Schritt durch Auflösung der photochromatischen Verbindung in einer Polyolkomponente des Polyurethans oder in einer Mischung der Polyolkomponenten durchgeführt wird.
10. Verfahren nach einem der Ansprüche 1 bis 8, wobei der erste Schritt durch Auflösen der photochromatischen Verbindung in einer Diisocyanatkomponente des Polyurethans durchgeführt wird.
11. Verfahren nach einem der vorhergehenden Ansprüche, wobei die Polymerisation der Polyurethankomponenten zwischen zwei optisch durchsichtigen Schichten durchgeführt wird, um dadurch ein Laminat zu bilden.
12. Verfahren nach Anspruch 11, wobei eine oder beide Schichten durch ein Formelement ersetzt werden, die ein Formtrennmittel tragen, um dadurch ein zweilagiges Laminat oder eine selbsttragende Polyurethanschicht zu bilden.
13. Verfahren nach einem der Ansprüche 1 bis 10, wobei die Oberfläche eines Gegenstandes mit den Polyurethankomponenten beschichtet wird oder die Polyurethankomponenten die Oberfläche eines Gegenstandes imprägnieren vor der Polymerisation der Polyurethankomponenten.
14. Verfahren nach Anspruch 13, wobei ein Verdünnungsmittel den Polyurethankomponenten zugegeben wird, um dadurch die Beschichtung des Gegenstandes mit den Polyurethankomponenten zu erleichtern.
15. Photochromatisches Polyurethan enthaltendes Laminat, das zwei äußere optisch durchsichtige Schichten aus Glas oder Kunststoff enthält mit einer daran angehefteten Zwischenschicht aus einem Polyurethanskunststoff, der photochromatische Eigenschaften besitzt, dadurch gekennzeichnet, daß der Polyurethanskunststoff mit photochromatischen Eigenschaften durch ein in einem der vorhergehenden Ansprüche 1 bis 10 beanspruchten Verfahren hergestellt wurde.
16. Photochromatisches Polyurethanolaminat nach Anspruch 15, wobei die Schichten eben sind.
17. Fenster zur architektonischen Verwendung oder zur Verwendung bei einem Fahrzeug, wobei das Fenster ein Laminat, wie im Anspruch 15 oder 16 beansprucht, einschließt.
18. Fahrzeughochfenster, das ein Laminat, wie im Anspruch 15 oder 16 beansprucht, einschließt.
19. Photochromatisches Polyurethanolaminat nach Anspruch 15 oder 16, in Form einer Linse.
20. Reflektierendes System, das eine reflektierende Oberfläche aus einer Papier-, Pappe- oder Kunststoffschicht aufweist, die mit einem photochromatischen Polyurethanskunststoff nach einem Verfahren wie im Anspruch 13 oder 14 beansprucht, beschichtet oder imprägniert ist.

**Revendications**

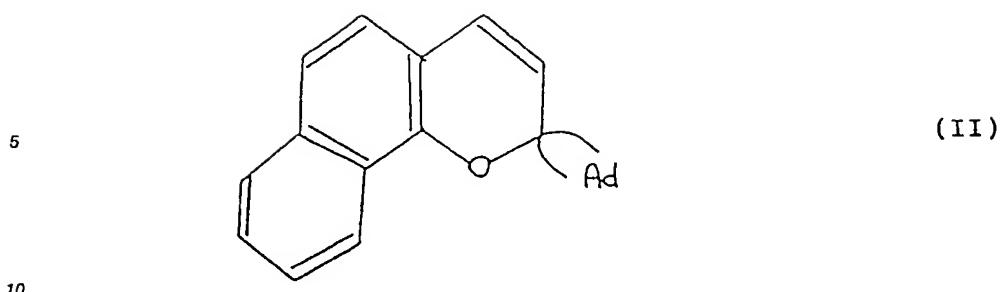
1. Procédé de préparation d'une matière plastique de polyuréthane ayant des propriétés photochromiques, caractérisé en ce qu'il comprend :
  - 5 (a) lors d'une première étape, l'incorporation d'un composé organique photochromique qui présente des propriétés photochromiques, comme résultat d'un clivage réversible de liaisons carbone-hétéroatome du type sigma à l'intérieur du composé (connu aussi sous le nom de composé photochromique de clivage réversible), dans un précurseur de polyuréthane, par exemple au moins un composé diisocyanate ou au moins un polyol ou un mélange d'un composé diisocyanate et d'un ou plusieurs polyols, qui donnera un polyuréthane lorsqu'il sera polymérisé ;
    - 10 (b) la combinaison du mélange de l'étape (a) avec d'autres composants nécessaires quelconques pour permettre la réalisation de la polymérisation ; et
    - (c) la polymérisation du mélange résultant pour former un polyuréthane comprenant ledit composé photochromique.
- 15 2. Procédé selon la revendication 1, où le polyuréthane résultant est un polyuréthane thermodurci.
3. Procédé selon la revendication 1, où le polyuréthane résultant est un polyuréthane thermoplastique.
- 20 4. Procédé selon la revendication 1, où le polyuréthane résultant est un polyuréthane aliphatique ou alicyclique.
5. Procédé selon l'une quelconque des revendications précédentes, où le composé diisocyanate du polyuréthane est un dicyclohexylméthane-diisocyanate, un toluène-diisocyanate ou des mélanges de ceux-ci.
- 25 6. Procédé selon l'une quelconque des revendications précédentes, où le composé polyol du polyuréthane est un polyester provenant d'une caprolactone, un polyétherdiol, le triméthylolpropane, ou des mélanges de ceux-ci.
- 30 7. Procédé selon l'une quelconque des revendications précédentes, où le composé photochromique est un spiropyranne, une spiro-oxazine, un chromène ou un héliochrome provenant d'un fulgide.
8. Procédé selon la revendication 7, où le composé photochromique est un composé héliochrome de formule (I)



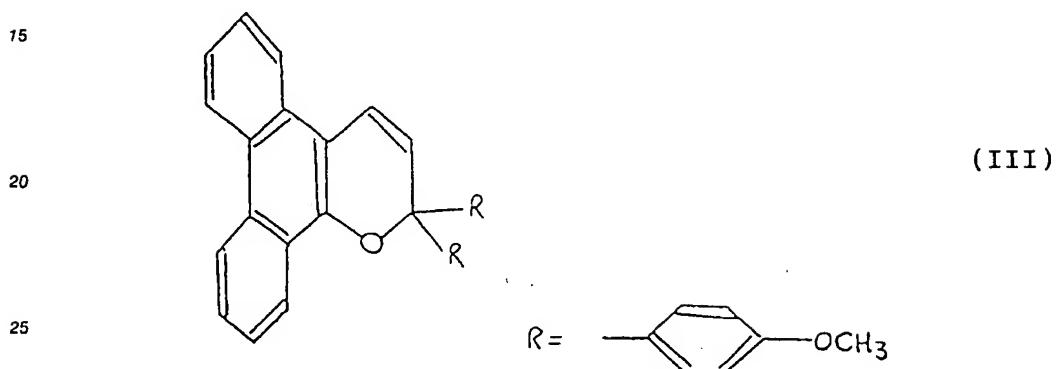
un composé chromène de formule (II)

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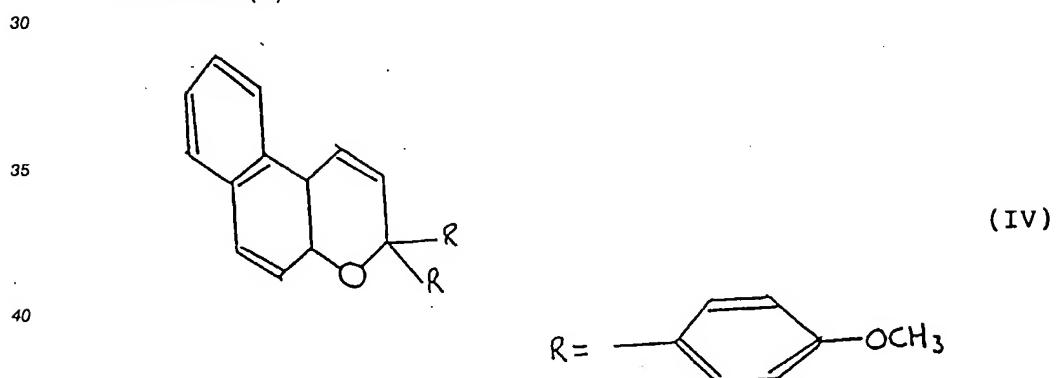
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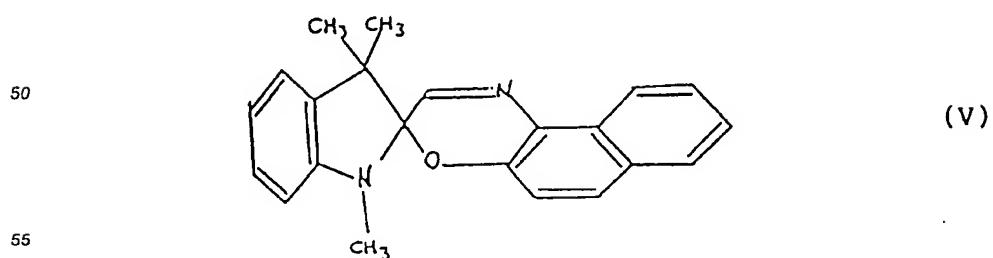
ou de formule (III)



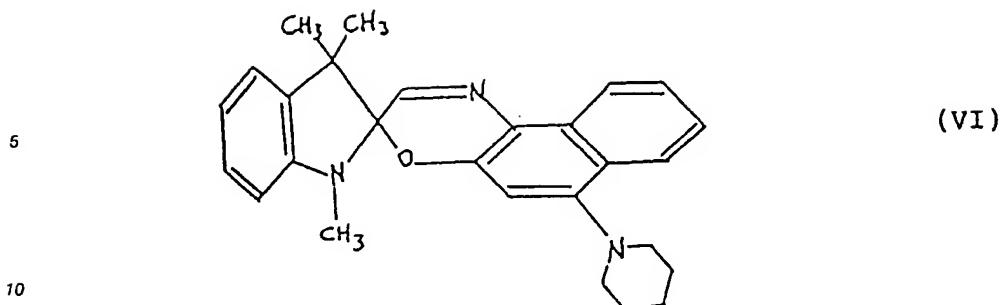
ou de formule (IV)



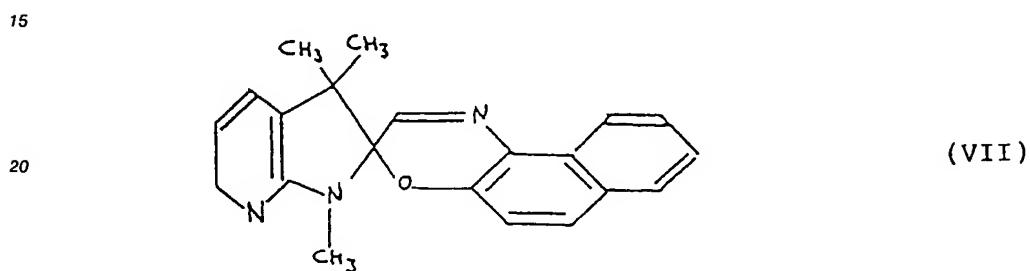
45 ou un composé spiro-oxazine de formule (V)



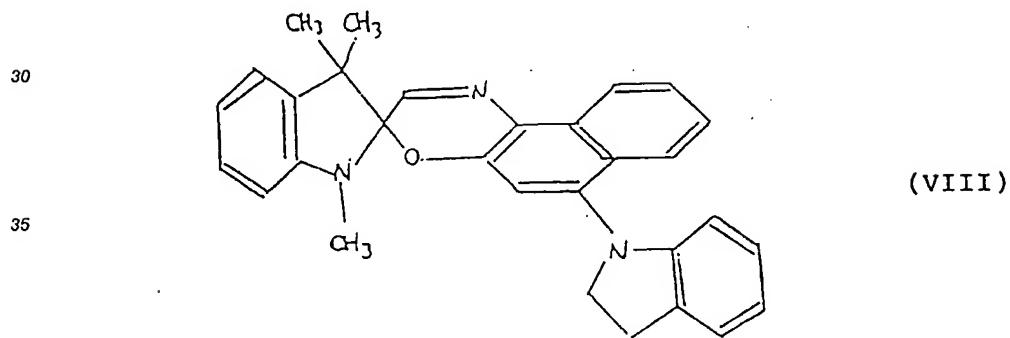
ou de formule (VI)



ou de formule (VII)



ou de formule (VIII)



9. Procédé selon l'une quelconque des revendications précédentes, où on effectue ladite première étape en dissolvant le composé photochromique dans un composant polyol du polyuréthane ou dans un mélange de composants polyols.
- 45 10. Procédé selon l'une quelconque des revendications 1 à 8, où on effectue ladite première étape en dissolvant le composé photochromique dans un composant diisocyanate du polyuréthane.
- 50 11. Procédé selon l'une quelconque des revendications précédentes, où on effectue la polymérisation des composants de polyuréthane entre deux feuilles optiquement limpides pour former un stratifié de cette manière.
- 55 12. Procédé selon la revendication 11, où on remplace une feuille ou les deux feuilles par un élément de moulage portant un agent de démoulage, pour former de cette manière respectivement un stratifié bicouche ou une feuille de polyuréthane non supportée.
13. Procédé selon l'une quelconque des revendications 1 à 10, où la surface d'un article est revêtue ou imprégnée des composants de polyuréthane avant la polymérisation des composants de polyuréthane.

14. Procédé selon la revendication 13, où on ajoute un diluant aux composants de polyuréthane pour faciliter, de cette manière, l'application des composants de polyuréthane sur l'article.
- 5 15. Stratifié photochromique contenant un polyuréthane, constitué par deux feuilles optiquement limpides de verre ou de matière plastique, sur lesquelles est collée une couche intermédiaire d'une matière plastique de polyuréthane ayant des propriétés photochromiques, caractérisé en ce que la matière plastique de polyuréthane ayant des propriétés photochromiques a été préparée au moyen d'un procédé tel que revendiqué dans l'une quelconque des revendications 1 à 10.
- 10 16. Stratifié photochromique à base de polyuréthane selon la revendication 15, où lesdites feuilles sont planes.
- 15 17. Fenêtre pour une utilisation en architecture ou à utiliser dans un véhicule, comprenant un stratifié tel que revendiqué dans la revendication 15 ou 16.
18. Plafonnier de véhicule comprenant un stratifié tel que revendiqué dans la revendication 15 ou 16.
19. Stratifié photochromique à base de polyuréthane selon la revendication 15 ou 16, sous la forme d'une lentille.
- 20 20. Système réfléchissant comprenant une surface réfléchissante en papier, en carton ou en feuille de matière plastique revêtue ou imprégnée d'une matière plastique de polyuréthane photochromique au moyen d'un procédé tel que revendiqué dans la revendication 13 ou 14.

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